

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004410.P011

(maximum 12 characters)

First Name of Inventor Daniel Sundman

Title: METHOD AND APPARATUS FOR PROVIDING CUSTOMIZED FILTERS TO RESTRICT
DATASETS RETRIEVED FROM A DATABASE

Express Mail Label No. EV341059304US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. ☐ **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. ☒ **Specification (Total Pages 39)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ **Drawings(s) (35 USC 113) (Total Sheets 17)**
5. ☒ **Oath or Declaration (Total Pages 4)**
 - a. ☒ Newly Executed (Original or Copy)
 - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. ☐ Unsigned.
6. ☐ **Application Data Sheet. (37 CFR 1.76)**
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

9. X **Assignment Papers (cover sheet & documents(s))**

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

 b. Power of Attorney

11. English Translation Document (if applicable)

12. a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)

 b. Copies of IDS Citations

13. **Preliminary Amendment**

14. X **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. X **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17A. Claim for Foreign Priority

17B. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

here)
☒ Correspondence Address Below
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- 2 -

012304

16711 U.S. PTO

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) \$1130.00

Complete if Known:

Application N . _____

Filing Date _____

First Named Inventor Daniel Sundman

Examiner Name _____

Art Unit _____

Attorney Docket No. 004410.P011

____ Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Credit any overpayments.

☒ Charge any additional fees during the pendency of this application.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

☐ Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility application filing fee | <u>770.00</u> |
| 1002 | 340 | 2002 | 170 | Design application filing fee | _____ |
| 1003 | 530 | 2003 | 265 | Plant filing fee | _____ |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | _____ |
| 1005 | 160 | 2005 | 80 | Provisional application filing fee | _____ |
| SUBTOTAL (1) | | | | | <u>\$ 770.00</u> |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | Extra Claims | Fee from below | Fee Paid |
|--------------------|-----------|----------|--------------|----------------|-----------------|
| Total Claims | <u>33</u> | - 20** = | <u>13</u> | X <u>18.00</u> | = <u>234.00</u> |
| Independent Claims | <u>4</u> | - 3** = | <u>1</u> | X <u>86.00</u> | = <u>86.00</u> |
| Multiple Dependent | | | | | = _____ |

**Or number previously paid, if greater; For Reissues, see below.

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Code | Fee (\$) | Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **R issu independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 320.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>F</u> | <u>Paid</u> |
|---------------------------|-------------|---------------------|-------------|--|-----------------|-------------|
| <u>Fe</u> | <u>Fee</u> | <u>F</u> | <u>Fee</u> | | | |
| <u>C de</u> | <u>(\$)</u> | <u>C de</u> | <u>(\$)</u> | | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 1053 | 130 | 1053 | 130 | Non-English specification | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter parties reexamination | | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | |
| 1502 | 480 | 2502 | 240 | Design issue fee | | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 | |
| 1809 | 770 | 2809 | 385 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | | |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer | | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (see 37 CFR 1.129(b)) | | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. | | |
| 1505 | 300 | 1505 | 300 | Publication fee for republication | | |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication | | |
| 1808 | 130 | 1808 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | | |
| 1454 | 1,330 | 1454 | 1,330 | Acceptance of unintentionally delayed claim for priority | | |
| Other fee (specify) _____ | | | | | | |
| Other fee (specify) _____ | | | | | | |
| SUBTOTAL (3) | | | | | \$ 40.00 | |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Marina Portnova

Signature: M. Portnova Date: January 23, 2004

R g. Number: 45,750 Teleph ne Number: (408) 720-8300

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Daniel Sundman

Title METHOD AND APPARATUS FOR PROVIDING CUSTOMIZED FILTERS TO RESTRICT DATASETS
RETRIEVED FROM A DATABASE

Attorney Docket No. 004410.P011

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 23, 2004

Date

M. Portnova

Signature

(408) 720-8300

Telephone Number

Marina Portnova

Typed or Printed Name

45,750

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**